

Audit Summary Checklist

General Assessment Criteria	Meets Criteria Yes/No	Comments
1) Has the course been accredited against ASIC PS 146 generic, all specialist knowledge and skills and integrity requirements?		
2) Does the course minimally contain the competencies as in the Diploma of Financial Services (Financial Planning)? (Undergraduate Programs only)		
3) Does the <i>course material</i> reflect the learning outcomes specified?		
4) Does the material reflect content depth beyond the Diploma of Financial Services (Financial Planning) and Advanced Diploma of Financial Services (Financial Planning)? (Postgraduate Programs only)		
5) Is the course well written/organised with a clear and logical structure (including sufficient time allocated to topics and activities)?		
6) Does the course structure include practical learning experiences that include independent problem solving, research and 'real life' financial planning exposure?		
7) Does the course have an action-learning component (project or capstone unit)?		

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8) Is the development of a complex financial plan part of the assessment process?		
9) Is there a clear correlation between the course content and the assessment?		
10) Does the assessment processes used reflect the nature of current financial planning practice?		
11) Is there a rigorous process in place in arriving at the pass mark and/or grading?		
12) Do the qualifications and/or experience of those teaching the course meet the expectations of the FPA?		